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PATENT

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Shattan

Signature

Applicant : Craig L. Ogg  
Application No. : 09/690,796  
Filed : October 17, 2000  
Title : SECURE AND RECOVERABLE DATABASE FOR ON-LINE VALUE-BEARING ITEM SYSTEM

Grp./Div. : 3621  
Examiner : Salvatore A. Cangialosi  
Docket No. : 39477/SAH/S850

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INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§ 1.97(b)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Post Office Box 7068  
Pasadena, CA 91109-7068  
September 21, 2004

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed. The filing is timely because it is made during one of the periods described 37 CFR § 1.97 (b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

**Application No. 09/690,796**

The Commissioner is hereby authorized to charge any fees which may be required by this paper to Deposit Account No. 03-1728. Please show our docket number with any Deposit Account transaction. **A copy of this paper is enclosed.**

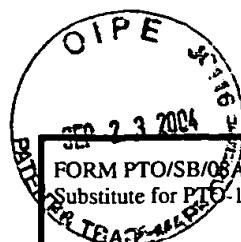
Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By   
Art Hasan  
Reg. No. 41,057  
626/795-9900

SAH/tll

Enclosures: Copy of IDS  
Form PTO/SB/08A/B, w/references  
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FORM PTO/SB/05A/B (10-01)  
Substitute for PTO-1449A/B

## INFORMATION DISCLOSURE

### STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number	39477/SAH/S850
Application Number	09/690,796
Filing Date	October 16, 2000
Applicant(s)	Craig L. Ogg
Group Art Unit	3621
Examiner Name	Salvatore A. Cangialosi

### U.S. PATENT DOCUMENTS

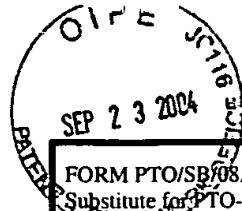
EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		4,447,890	05-08-1984	Duwel et al.
		4,757,537	07-12-1988	Edelmann et al.
		4,933,849	06-12-1990	Connell et al.
		4,949,381	08-14-1990	Pastor
		4,980,542	12-25-1990	Jackson et al.
		5,048,085	09-10-1991	Abraham et al.
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		5,742,683	04-21-1998	Lee et al.

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Examiner Name	Salvatore A. Cangialosi

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EXAMINER INITIALS	CITE NO. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		5,781,438	07-14-1998	Lee et al.
		5,781,634	07-14-1998	Cordery et al.
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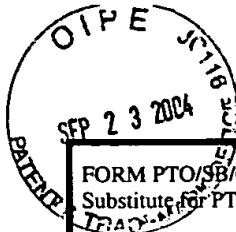
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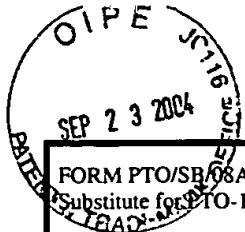
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### FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	CITE NO. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> ( <input checked="" type="checkbox"/> )
		EP 0 360 225 A2	03-28-1990	Pastor	
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		EP 0 604 146 A3	06-29-1994	Herbert	
		EP 0 604 146 B1	11-26-1997	Herbert	
		EP 0 604 148 A3	06-29-1994	Herbert	

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		EP 0 647 925 A2	04-12-1995	Pintsov, et al.	
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		WO 01/50227 A2	07-12-2001	Mattern, et al.	

### OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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